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DATE: February 24, 2000  
SEND TO: Examiner Yvette Clarke  
LOCATION: U.S. Patent and Trademark Office  
FAX NO.: 703-305-6078  
FROM: Deanna M. Landry for Peter F. Corless  
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**Official**

MESSAGE: Re: U.S.S.N. 09/219,468, filed: December 23, 1998  
Applicant: Trefonas et al.  
Attorney Docket No.: 50351

Dear Examiner Clarke:

To follow please find a copy of the Information Disclosure Statement in connection with the above-referenced application as forwarded to the U.S. Patent Office on June 4, 1999. Please also find a copy of the return postcard and the first page of each of the references cited. We have complete copies of the references in our file. Please contact me if you would like me to forward any of the references by facsimile.

Sincerely yours,

*Deanna Landry*  
Deanna M. Landry  
Legal Assistant

TOTAL NUMBER OF PAGES: <sup>11</sup>~~10~~, including cover sheet.

Should there be any problem with the transmission of the following document, please contact Deanna Landry at (508) 485-7772.

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(P. Trefonas et al.)

The dating stamp of the Patent & Trademark Office

hereon will be taken as the date of filing of: Information  
Disclosure Statement; Form PTO-1449; and references  
AA, BA-BC cited therein

Serial No. 09/219,468 Filing Date December 23, 1998

Due Date Before Receipt of First Office Action



Attorney Docket No. 50351

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: P. Trefonas et al.

U.S.S.N.: 09/219,468

Art Unit: 1752

FILED: December 23, 1998

Examiner: Not Yet Assigned

FOR: PHOTORESIST COMPOSITIONS PARTICULARLY SUITABLE FOR  
SHORT WAVELENGTHCERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on June 4, 1999.

By:

Deanna M. Landry

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

**II. COPIES**a. ☒

Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

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III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

- a. ☒ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. ☒ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- Japanese Patent Application No. 304792/92 corresponds to copending U.S.S.N. 08/152,084, filed November 12, 1993.
- c. ☒ The following additional information is provided for the Examiner's consideration:

In addition to the aforesaid references, Applicants wish to bring to the attention of the Examiner four copending applications assigned to the Assignee of the subject application identified as follows:

U.S.S.N. 08/152,084, filed November 12, 1993;  
corresponding to Japanese Patent Appln. No. 304792/92;  
U.S.S.N. 09/128,797, filed August 4, 1998;  
U.S.S.N. 09/143,462, filed August 28, 1998; and  
U.S.S.N. 09/150,965, filed September 10, 1998.

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)  
(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.

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- c. ☒ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$240.00 is required by 37 C.F.R. § 1.17(p).  
or  
b. ☐ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS;  
or  
b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.  
c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart

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foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

- ☐ Please charge Deposit Account No. 04-1105 in the amount of \$240.00 for the above-indicated fee. A triplicate copy of this paper is attached.
- ☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,



Peter F. Corless (Reg. No. 33,860)  
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& CUSHMAN, LLP.

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